

# **CMSC 471**

## **Fall 2012**

**Class #20**

**Tuesday, November 6, 2012**

**Partial Order Planning  
and Probabilistic Reasoning**

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# Today's Class

- HW5 out
- Project Design Document
- Grades/Extra Credit Assignment
- Classical Planning
  - State-Space vs Plan-Space Planning
- Partial Order Planning (POP)
- Probabilistic Reasoning
  - Bayes Rule
  - Bayesian Inference

# State-Space Planning

- We initially have a space of situations (where you are, what you have, etc.)
- The plan is a solution found by “searching” through the situations to get to the goal
- A **progression planner** searches forward from initial state to goal state
- A **regression planner** searches backward from the goal
  - This works if operators have enough information to go both ways
  - Ideally this leads to reduced branching: the planner is only considering things that are relevant to the goal

# Plan-Space Planning

- An alternative is to **search through the space of *plans***, rather than situations.
- Start from a **partial plan** which is expanded and refined until a complete plan that solves the problem is generated.
- **Refinement operators** add constraints to the partial plan and modification operators for other changes.
- We can still use STRIPS-style operators:
  - Op(ACTION: RightShoe, PRECOND: RightSockOn, EFFECT: RightShoeOn)
  - Op(ACTION: RightSock, EFFECT: RightSockOn)
  - Op(ACTION: LeftShoe, PRECOND: LeftSockOn, EFFECT: LeftShoeOn)
  - Op(ACTION: LeftSock, EFFECT: leftSockOn)

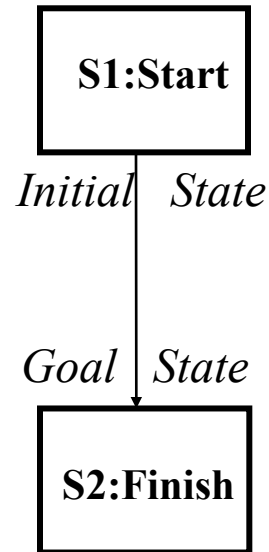
could result in a partial plan of  
[RightShoe, LeftShoe]

# Partial-Order Planning

- A **linear planner** builds a plan as a **totally ordered sequence** of plan steps
- A **non-linear planner (aka partial-order planner)** builds up a plan as a set of steps with some temporal constraints
  - constraints of the form  $S1 < S2$  if step  $S1$  must come before  $S2$ .
- One **refines** a partially ordered plan (POP) by either:
  - **adding a new plan step**, or
  - **adding a new constraint** to the steps already in the plan.
- A POP can be **linearized** (converted to a totally ordered plan) by topological sorting

# The Initial Plan

Every plan starts the same way



# Trivial Example

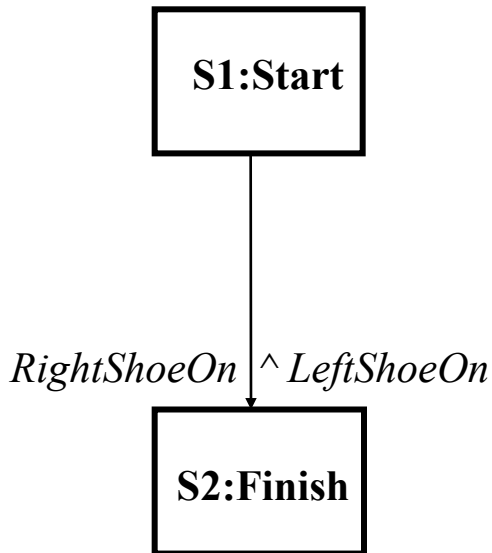
Operators:

Op(ACTION: RightShoe, PRECOND: RightSockOn, EFFECT: RightShoeOn)

Op(ACTION: RightSock, EFFECT: RightSockOn)

Op(ACTION: LeftShoe, PRECOND: LeftSockOn, EFFECT: LeftShoeOn)

Op(ACTION: LeftSock, EFFECT: leftSockOn)

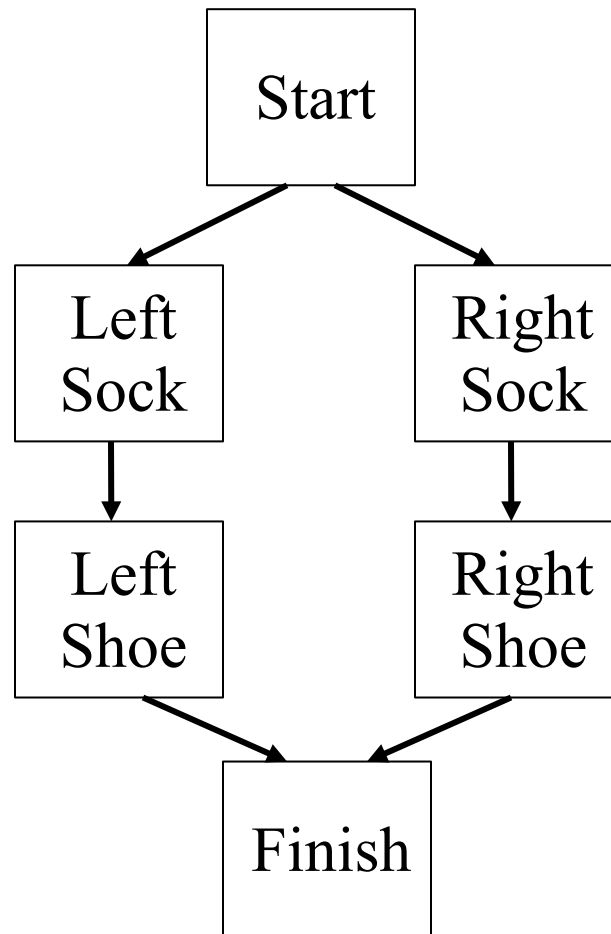


Steps: {S1:[Op(Action:Start)],  
S2:[Op(Action:Finish,  
Pre: RightShoeOn^LeftShoeOn)]}

Links: {}

Orderings: {S1<S2}

# Solution





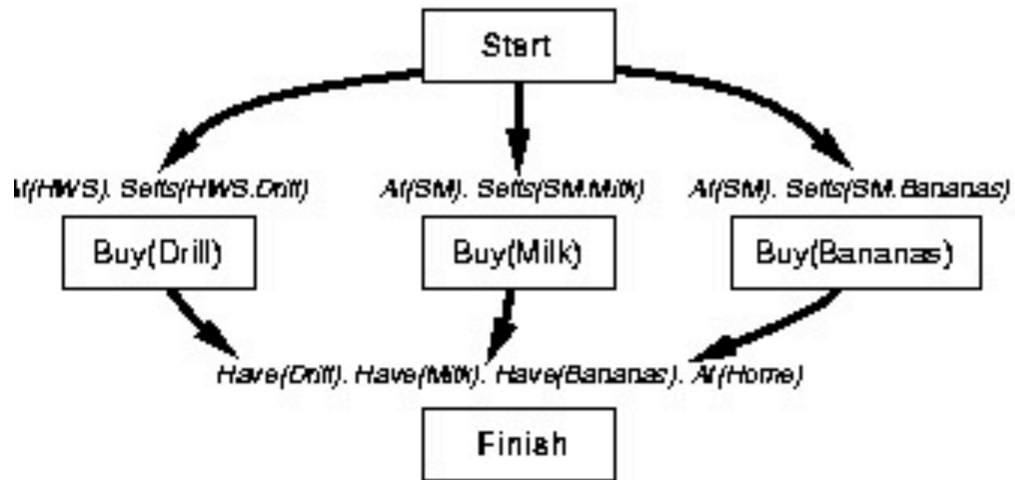
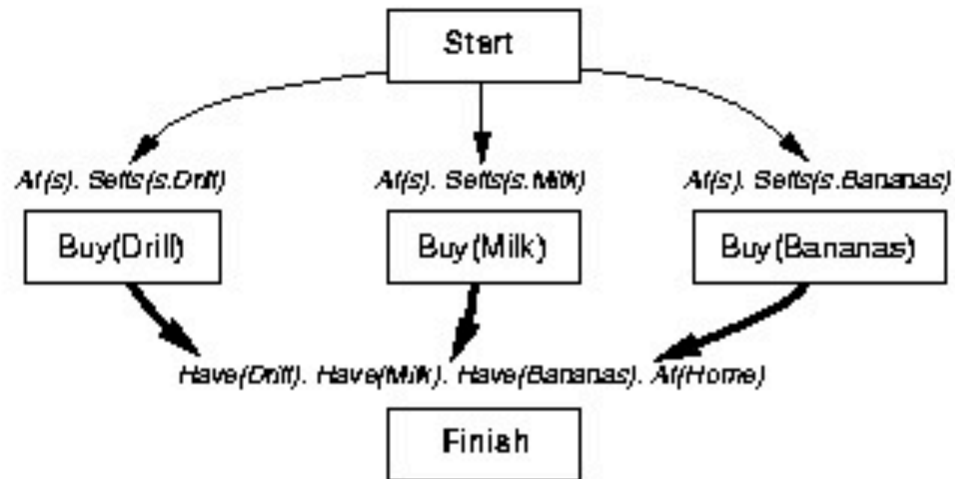
# POP Constraints and Search Heuristics

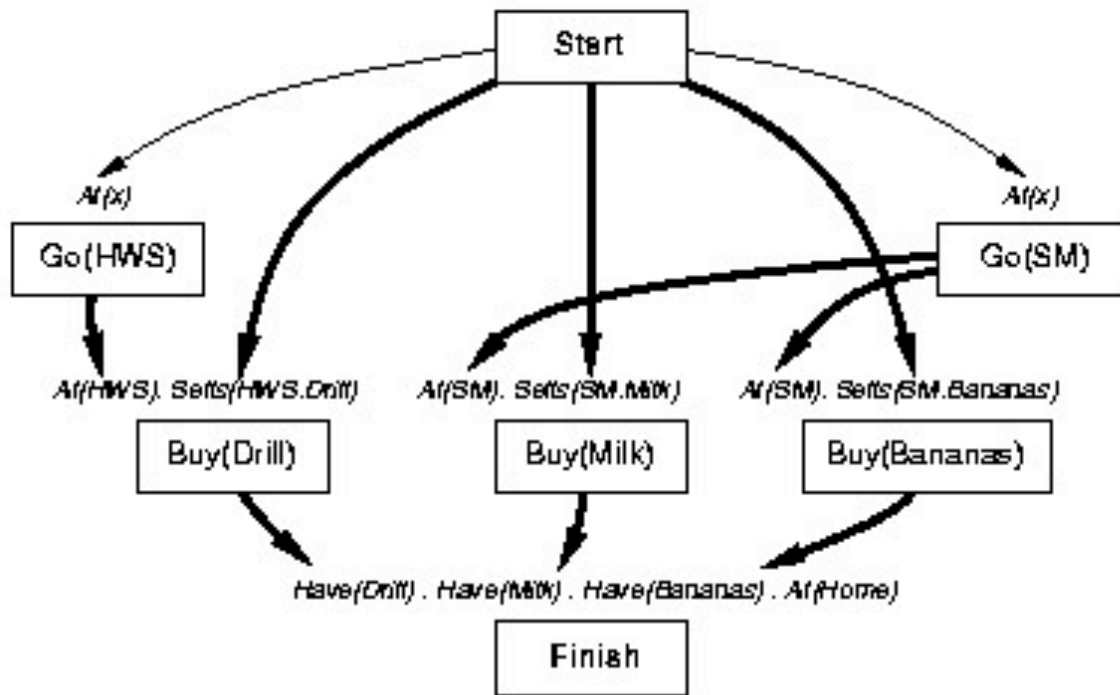
- Only add steps that achieve a currently unachieved precondition
- Use a least-commitment approach:
  - Don't order steps unless they need to be ordered
- Honor causal links  $S_1 \leftrightarrow S_2$  that **protect** a condition  $c$ :
  - Never add an intervening step  $S_3$  that violates  $c$
  - If a parallel action **threatens**  $c$  (i.e., has the effect of negating or **clobbering**  $c$ ), resolve that threat by adding ordering links:
    - Order  $S_3$  before  $S_1$  (**demotion**)
    - Order  $S_3$  after  $S_2$  (**promotion**)

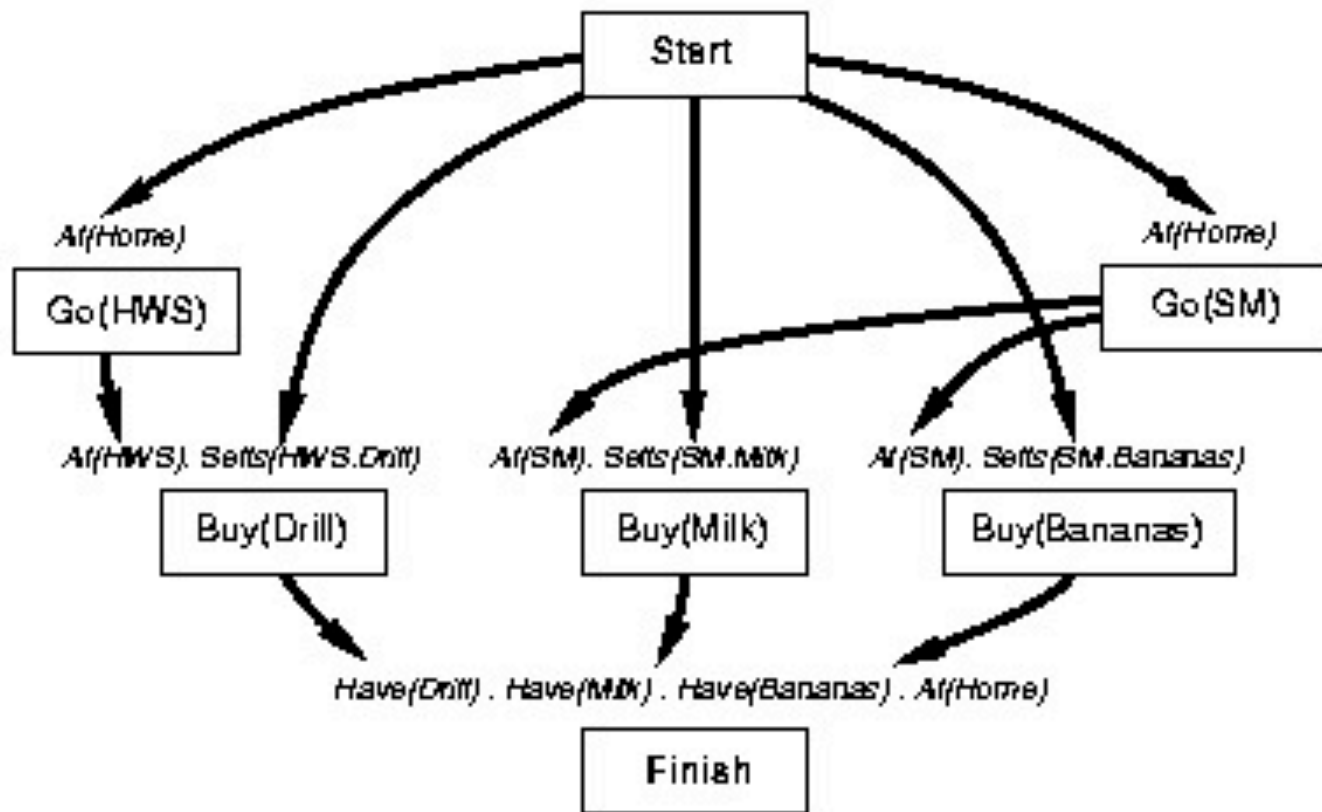
# Partial-Order Planning Example

- Goal: Have milk, bananas, and a drill

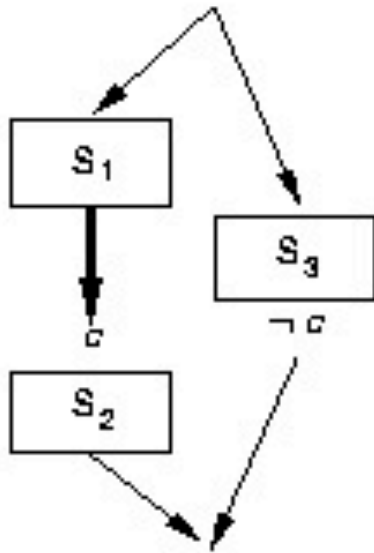




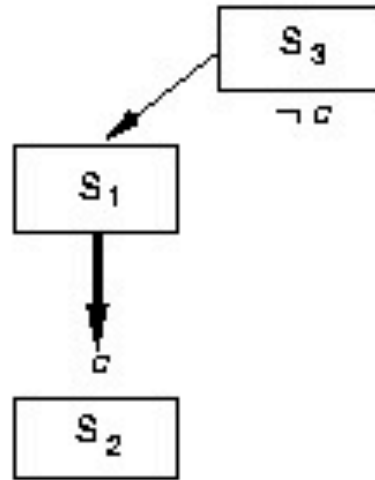




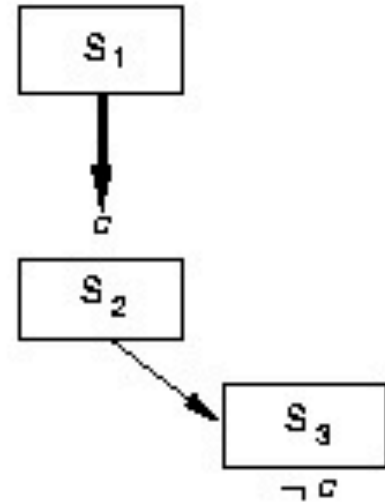
# Resolving Threats



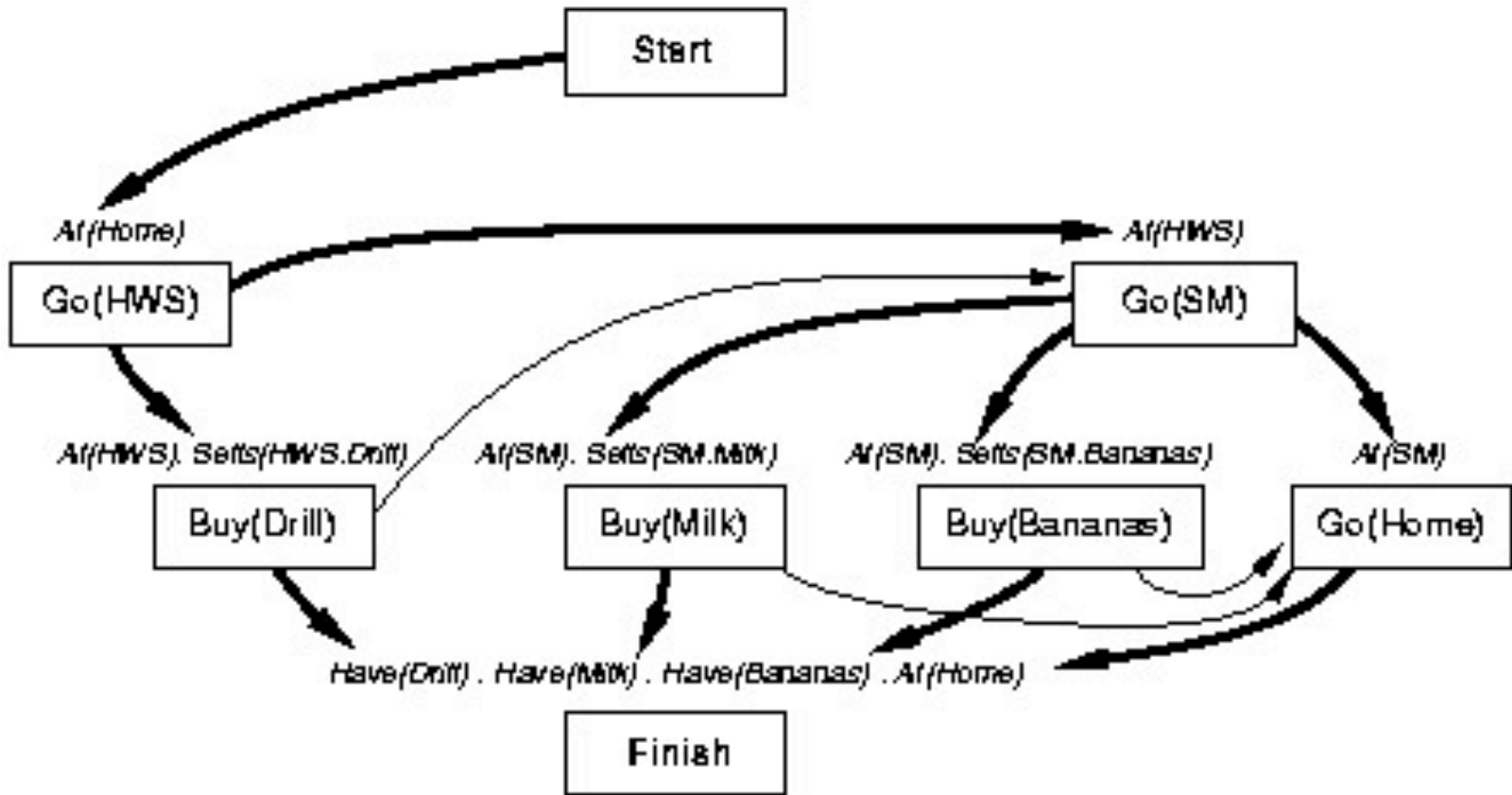
(a) Threat



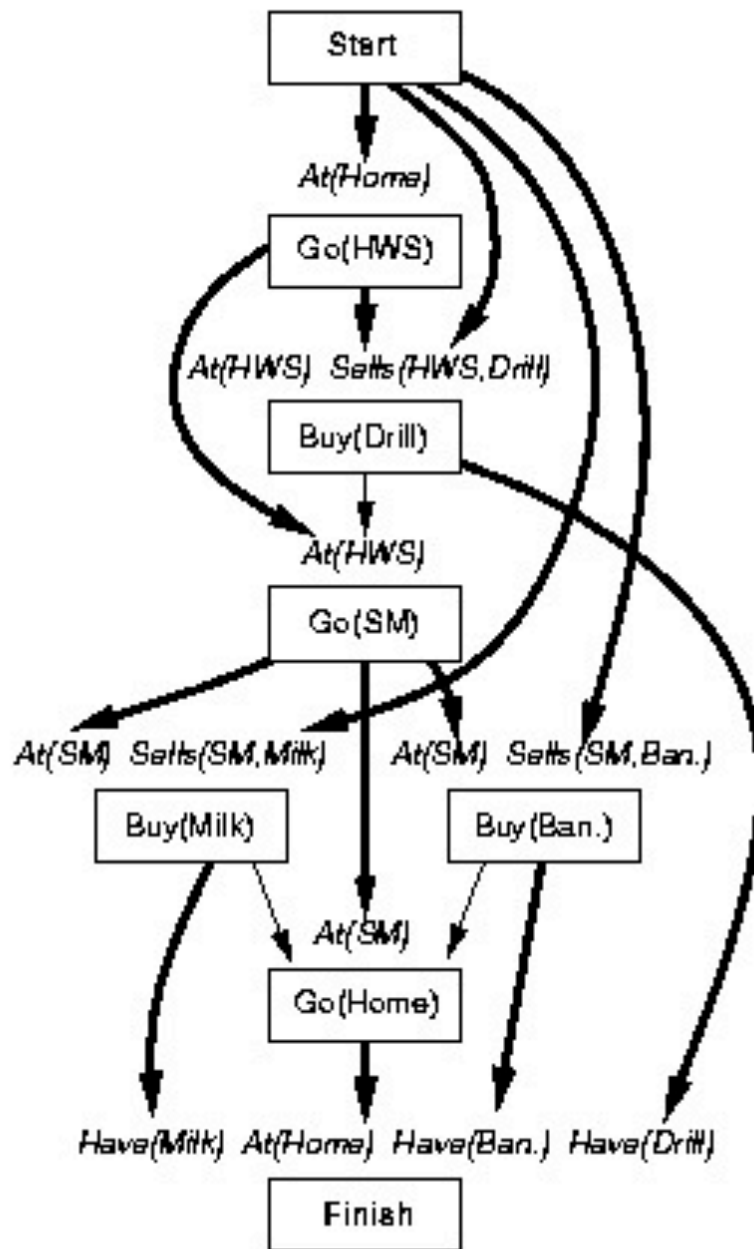
(b) Demotion



(c) Promotion







# Bayesian Reasoning

## Chapter 13

# Sources of Uncertainty

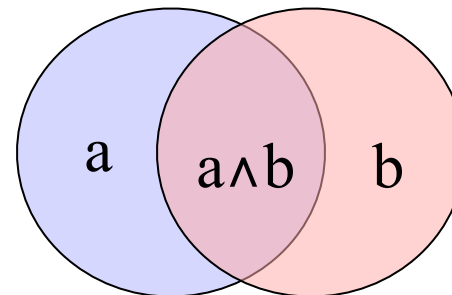
- Uncertain **inputs**
  - Missing data
  - Noisy data
- Uncertain **knowledge**
  - Multiple causes lead to multiple effects
  - Incomplete enumeration of conditions or effects
  - Incomplete knowledge of causality in the domain
  - Probabilistic/stochastic effects
- Uncertain **outputs**
  - Abduction and induction are inherently uncertain
  - Default reasoning, even in deductive fashion, is uncertain
  - Incomplete deductive inference may be uncertain
- ▶ Probabilistic reasoning only gives probabilistic results (summarizes uncertainty from various sources)

# Decision Making with Uncertainty

- **Rational** behavior:
  - For each possible action, identify the possible outcomes
  - Compute the **probability** of each outcome
  - Compute the **utility** of each outcome
  - Compute the probability-weighted **(expected) utility** over possible outcomes for each action
  - Select the action with the highest expected utility (principle of **Maximum Expected Utility**)

# Why Probabilities Anyway?

- Kolmogorov showed that three simple axioms lead to the rules of probability theory
  - De Finetti, Cox, and Carnap have also provided compelling arguments for these axioms
- 1. All probabilities are between 0 and 1:
  - $0 \leq P(a) \leq 1$
- 2. Valid propositions (tautologies) have probability 1, and unsatisfiable propositions have probability 0:
  - $P(\text{true}) = 1$  ;  $P(\text{false}) = 0$
- 3. The probability of a disjunction is given by:
  - $P(a \vee b) = P(a) + P(b) - P(a \wedge b)$



# Probability Theory

- **Random variables**
  - Domain
- **Atomic event**: complete specification of state
- **Prior probability**: degree of belief without any other evidence
- **Joint probability**: matrix of combined probabilities of a set of variables
- Alarm, Burglary, Earthquake
  - Boolean (like these), discrete, continuous
- Alarm=True  $\wedge$  Burglary=True  $\wedge$  Earthquake=False  
alarm  $\wedge$  burglary  $\wedge$   $\neg$ earthquake
- P(Burglary) = .1
- P(Alarm, Burglary) =

|                 | alarm | $\neg$ alarm |
|-----------------|-------|--------------|
| burglary        | .09   | .01          |
| $\neg$ burglary | .1    | .8           |

# Probability Theory (cont.)

- **Conditional probability:**  
probability of effect given causes
- **Computing conditional probs:**
  - $P(a | b) = P(a \wedge b) / P(b)$
  - $P(b)$ : **normalizing** constant
- **Product rule:**
  - $P(a \wedge b) = P(a | b) P(b)$
- **Marginalizing:**
  - $P(B) = \sum_a P(B, a)$
  - $P(B) = \sum_a P(B | a) P(a)$   
(**conditioning**)
- $P(\text{burglary} | \text{alarm}) = .47$   
 $P(\text{alarm} | \text{burglary}) = .9$
- $P(\text{burglary} | \text{alarm}) =$   
 $P(\text{burglary} \wedge \text{alarm}) / P(\text{alarm})$   
 $= .09 / .19 = .47$
- $P(\text{burglary} \wedge \text{alarm}) =$   
 $P(\text{burglary} | \text{alarm}) P(\text{alarm}) =$   
 $.47 * .19 = .09$
- $P(\text{alarm}) =$   
 $P(\text{alarm} \wedge \text{burglary}) +$   
 $P(\text{alarm} \wedge \neg \text{burglary}) =$   
 $.09 + .1 = .19$

# Example: Inference from the Joint

|                 | alarm      |                   | $\neg$ alarm |                   |
|-----------------|------------|-------------------|--------------|-------------------|
|                 | earthquake | $\neg$ earthquake | earthquake   | $\neg$ earthquake |
| burglary        | .01        | .08               | .001         | .009              |
| $\neg$ burglary | .01        | .09               | .01          | .79               |



# Example: Inference from the Joint

|                 | alarm      |                   | $\neg$ alarm |                   |
|-----------------|------------|-------------------|--------------|-------------------|
|                 | earthquake | $\neg$ earthquake | earthquake   | $\neg$ earthquake |
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$P(\text{burglary} \mid \text{alarm})$

# Example: Inference from the Joint

|                 | alarm      |                   | $\neg$ alarm |                   |
|-----------------|------------|-------------------|--------------|-------------------|
|                 | earthquake | $\neg$ earthquake | earthquake   | $\neg$ earthquake |
| burglary        | .01        | .08               | .001         | .009              |
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$P(\text{burglary} \mid \text{alarm})$

$$P(\text{burglary} \mid \text{alarm}) = P(\text{burglary} \wedge \text{alarm}) / P(\text{alarm})$$

# Example: Inference from the Joint

|           | alarm      |             | ¬alarm     |             |
|-----------|------------|-------------|------------|-------------|
|           | earthquake | ¬earthquake | earthquake | ¬earthquake |
| burglary  | .01        | .08         | .001       | .009        |
| ¬burglary | .01        | .09         | .01        | .79         |

$P(\text{burglary} \mid \text{alarm})$

$P(\text{burglary} \mid \text{alarm}) = P(\text{burglary} \wedge \text{alarm}) / P(\text{alarm})$

$P(\text{alarm}) = P(\text{alarm} \wedge \text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \text{burglary} \wedge \neg\text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \neg\text{earthquake})$

# Example: Inference from the Joint

|           | alarm      |             | ¬alarm     |             |
|-----------|------------|-------------|------------|-------------|
|           | earthquake | ¬earthquake | earthquake | ¬earthquake |
| burglary  | .01        | .08         | .001       | .009        |
| ¬burglary | .01        | .09         | .01        | .79         |

$P(\text{burglary} \mid \text{alarm})$

$P(\text{burglary} \mid \text{alarm}) = P(\text{burglary} \wedge \text{alarm}) / P(\text{alarm})$

$P(\text{alarm}) = P(\text{alarm} \wedge \text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \text{burglary} \wedge \neg\text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \neg\text{earthquake})$

$P(\text{alarm}) = 0.19$

# Example: Inference from the Joint

|           | alarm      |             | ¬alarm     |             |
|-----------|------------|-------------|------------|-------------|
|           | earthquake | ¬earthquake | earthquake | ¬earthquake |
| burglary  | .01        | .08         | .001       | .009        |
| ¬burglary | .01        | .09         | .01        | .79         |

$P(\text{burglary} \mid \text{alarm})$

$P(\text{burglary} \mid \text{alarm}) = P(\text{burglary} \wedge \text{alarm}) / P(\text{alarm})$

$P(\text{alarm}) = P(\text{alarm} \wedge \text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \text{burglary} \wedge \neg\text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \neg\text{earthquake})$

$P(\text{alarm}) = 0.19$

$P(\text{burglary} \mid \text{alarm}) = 0.09 / 0.19 = 0.474$

# Example: Inference from the Joint

|           | alarm      |             | ¬alarm     |             |
|-----------|------------|-------------|------------|-------------|
|           | earthquake | ¬earthquake | earthquake | ¬earthquake |
| burglary  | .01        | .08         | .001       | .009        |
| ¬burglary | .01        | .09         | .01        | .79         |

$P(\text{burglary} \mid \text{alarm})$

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$P(\text{alarm}) = P(\text{alarm} \wedge \text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \text{burglary} \wedge \neg\text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \text{earthquake}) + P(\text{alarm} \wedge \neg\text{burglary} \wedge \neg\text{earthquake})$

$P(\text{alarm}) = 0.19$

$P(\text{burglary} \mid \text{alarm}) = 0.09 / 0.19 = 0.474$

$P(\neg\text{burglary} \mid \text{alarm})?$

# Exercise: Inference from the Joint

| $p(\text{smart} \wedge \text{study} \wedge \text{prep})$ | smart |              | $\neg$ smart |              |
|--|-------|--------------|--------------|--------------|
|  | study | $\neg$ study | study        | $\neg$ study |
| prepared   | .432  | .16          | .084         | .008         |
| $\neg$ prepared  | .048  | .16          | .036         | .072         |

- **Queries:**
  - What is the prior probability of *smart*?
  - What is the prior probability of *study*?
  - What is the conditional probability of *prepared*, given *study* and *smart*?
- **Save these answers for next time! 😊**

# Independence

- When two sets of propositions do not affect each others' probabilities, we call them **independent**, and can easily compute their joint and conditional probability:
  - Independent (A, B)  $\Leftrightarrow P(A \wedge B) = P(A) P(B)$ ,  $P(A | B) = P(A)$
- For example, {moon-phase, light-level} might be independent of {burglary, alarm, earthquake}
  - Then again, it might not: Burglars might be more likely to burglarize houses when there's a new moon (and hence little light)
  - But if we know the light level, the moon phase doesn't affect whether we are burglarized
  - Once we're burglarized, light level doesn't affect whether the alarm goes off
- We need a more complex notion of independence, and methods for reasoning about these kinds of relationships



# Exercise: Independence

| $p(\text{smart} \wedge \text{study} \wedge \text{prep})$ | smart |              | $\neg$ smart |              |
|--|-------|--------------|--------------|--------------|
|  | study | $\neg$ study | study        | $\neg$ study |
| prepared   | .432  | .16          | .084         | .008         |
| $\neg$ prepared  | .048  | .16          | .036         | .072         |

- **Queries:**
  - Is *smart* independent of *study*?
  - Is *prepared* independent of *study*?

# Conditional Independence

- Absolute independence:
  - A and B are **independent** if  $P(A \wedge B) = P(A) P(B)$ ; equivalently,  $P(A) = P(A | B)$  and  $P(B) = P(B | A)$
- A and B are **conditionally independent** given C if
  - $P(A \wedge B | C) = P(A | C) P(B | C)$
- This lets us decompose the joint distribution:
  - $P(A \wedge B \wedge C) = P(A | C) P(B | C) P(C)$
- Moon-Phase and Burglary are *conditionally independent given* Light-Level
- Conditional independence is weaker than absolute independence, but still useful in decomposing the full joint probability distribution

# Exercise: Conditional Independence

| $p(\text{smart} \wedge \text{study} \wedge \text{prep})$ | smart |              | $\neg$ smart |              |
|--|-------|--------------|--------------|--------------|
|  | study | $\neg$ study | study        | $\neg$ study |
| prepared   | .432  | .16          | .084         | .008         |
| $\neg$ prepared  | .048  | .16          | .036         | .072         |

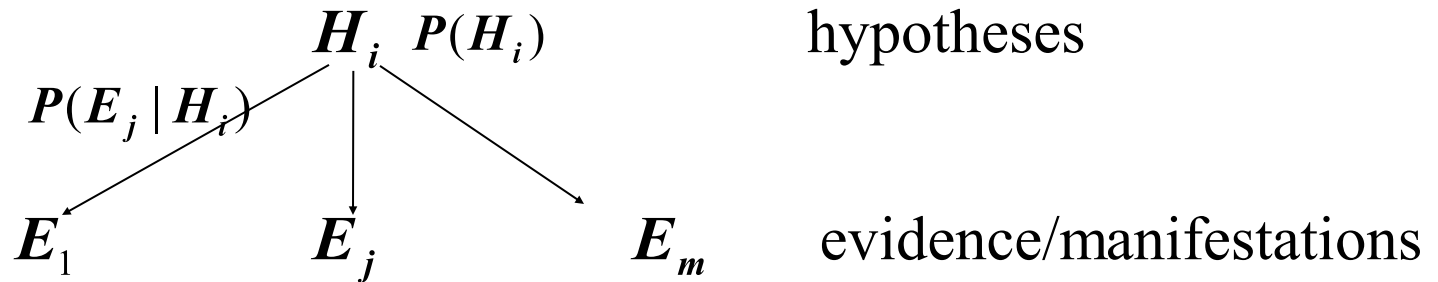
- **Queries:**
  - Is *smart* conditionally independent of *prepared*, given *study*?
  - Is *study* conditionally independent of *prepared*, given *smart*?

# Bayes's Rule

- Bayes's rule is derived from the product rule:
  - $P(Y | X) = P(X | Y) P(Y) / P(X)$
- Often useful for diagnosis:
  - If  $X$  are (observed) effects and  $Y$  are (hidden) causes,
  - We may have a model for how causes lead to effects ( $P(X | Y)$ )
  - We may also have prior beliefs (based on experience) about the frequency of occurrence of effects ( $P(Y)$ )
  - Which allows us to reason abductively from effects to causes ( $P(Y | X)$ ).

# Bayesian Inference

- In the setting of diagnostic/evidential reasoning



- Know prior probability of hypothesis
- conditional probability
- Want to compute the *posterior probability*
- Bayes's theorem (formula 1):

$$P(H_i)$$

$$P(E_j | H_i)$$

$$P(H_i | E_j)$$

$$P(H_i | E_j) = P(H_i)P(E_j | H_i) / P(E_j)$$

# Simple Bayesian Diagnostic Reasoning

- Knowledge base:
  - Evidence / manifestations:  $E_1, \dots, E_m$
  - Hypotheses / disorders:  $H_1, \dots, H_n$ 
    - $E_j$  and  $H_i$  are **binary**; hypotheses are **mutually exclusive** (non-overlapping) and **exhaustive** (cover all possible cases)
  - Conditional probabilities:  $P(E_j | H_i), i = 1, \dots, n; j = 1, \dots, m$
- Cases (evidence for a particular instance):  $E_1, \dots, E_l$
- Goal: Find the hypothesis  $H_i$  with the highest posterior
  - $\text{Max}_i P(H_i | E_1, \dots, E_l)$

# Bayesian Diagnostic Reasoning II

- Bayes' rule says that
  - $P(H_i | E_1, \dots, E_l) = P(E_1, \dots, E_l | H_i) P(H_i) / P(E_1, \dots, E_l)$
- Assume each piece of evidence  $E_i$  is conditionally independent of the others, *given* a hypothesis  $H_i$ , then:
  - $P(E_1, \dots, E_l | H_i) = \prod_{j=1}^l P(E_j | H_i)$
- If we only care about relative probabilities for the  $H_i$ , then we have:
  - $P(H_i | E_1, \dots, E_l) = \alpha P(H_i) \prod_{j=1}^l P(E_j | H_i)$

# Limitations of Simple Bayesian Inference

- Cannot easily handle multi-fault situations, nor cases where intermediate (hidden) causes exist:
  - Disease D causes syndrome S, which causes correlated manifestations  $M_1$  and  $M_2$
- Consider a composite hypothesis  $H_1 \wedge H_2$ , where  $H_1$  and  $H_2$  are independent. What is the relative posterior?
  - $$\begin{aligned} P(H_1 \wedge H_2 \mid E_1, \dots, E_n) &= \alpha P(E_1, \dots, E_n \mid H_1 \wedge H_2) P(H_1 \wedge H_2) \\ &= \alpha P(E_1, \dots, E_n \mid H_1 \wedge H_2) P(H_1) P(H_2) \\ &= \alpha \prod_{j=1}^n P(E_j \mid H_1 \wedge H_2) P(H_1) P(H_2) \end{aligned}$$
- How do we compute  $P(E_j \mid H_1 \wedge H_2)$  ??



# Limitations of Simple Bayesian Inference II

- Assume  $H_1$  and  $H_2$  are independent, given  $E_1, \dots, E_l$ ?
  - $P(H_1 \wedge H_2 | E_1, \dots, E_l) = P(H_1 | E_1, \dots, E_l) P(H_2 | E_1, \dots, E_l)$
- This is a very unreasonable assumption
  - Earthquake and Burglar are independent, but *not* given Alarm:
    - $P(\text{burglar} | \text{alarm}, \text{earthquake}) \ll P(\text{burglar} | \text{alarm})$
- Another limitation is that simple application of Bayes's rule doesn't allow us to handle causal chaining:
  - A: this year's weather; B: cotton production; C: next year's cotton price
  - A influences C indirectly:  $A \rightarrow B \rightarrow C$
  - $P(C | B, A) = P(C | B)$
- Need a richer representation to model interacting hypotheses, conditional independence, and causal chaining
- Next time: conditional independence and Bayesian networks!